



TRANSMITTAL FORM

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Total Number of Pages in This Submission

13

Application Number

09/994,009

Filing Date

November 5, 2001

First Named Inventor

Fereidoon Heydari

Art Unit

2627

Examiner Name

Glenda P. Rodriguez

Attorney Docket Number

01-S-023 (1678-039-01)

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/
Incomplete Application

☐ Reply to Missing Parts
under 37 CFR1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ Landscape Table on CD

☐ After Allowance Communication to TC

☐ Appeal Communication to Board
of Appeals and Interferences

☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

PTO Form SB/08a and 8b

Copy of non-patent literature document

Return receipt postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

Graybeal Jackson Haley, LLP

Signature

Printed Name

J. Mark Han

Date

July 2, 2007

Reg.
No.

57,898

CERTIFICATE OF TRANSMISSION/MAILING

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Signature

Typed or printed name

Cindy Hawk

Date

July 2, 2007

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Fereidoon Heydari

Serial No.: 09/994,009

Filing Date: November 5, 2001

Title: **A DATA CODE AND METHOD FOR CODING DATA**

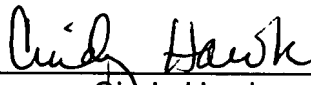
Attorney Docket No.: 01-S-023 (1678-039-01)

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Cindy Hawk

**RULE 56 INFORMATION DISCLOSURE AND
ACCOMPANYING SECTION 1.97(e) CERTIFICATION**

TO COMMISSIONER FOR PATENTS:

In compliance with 37 CFR § 1.56 and § 1.97, Applicants, acting by and through the attorney of record, hereby disclose patents and other documents listed on the attached Form PTO/SB/08a and 08b which may be considered relevant to the examination of the subject application.

A copy of the non-patent literature document is enclosed. Copies of U.S. patent and patent application documents cited in the attached form PTO SB-8a are not attached. However, the noted patents are U.S counterparts to foreign references cited by the European Search Report, i.e. EP 1 274 071; EP 1 271 478; EP1 271 506; and EP 1 271 507. It is submitted that the foreign references are merely cumulative of the U.S. citations. Nevertheless, applicants wish to disclose these relationships as part of their duty of candor.

Certification Under Section 1.97(e)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

A handwritten signature in black ink, appearing to read "J. Mark Han", followed by a long horizontal flourish.

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/994,009
Filing Date	November 5, 2001
First Named Inventor	Fereidoon Heydari
Art Unit	2627
Examiner Name	Glenda P. Rodriguez
Attorney Docket Number	01-S-023 (1678-039-01)

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A1	US- 2003/0048562 A1	03-13-2003	Heydari et al.	
		US- 2003/0048560 A1	03-13-2003	Ozdemir	
		US- 2003/0053245 A1	03-20-2003	Ozdemir	
		US- 2003/0002189 A1	01-02-2003	Ozdemir	
		US- 5,375,145	12-20-1994	Abbott et al.	
		US- 5,381,359	01-10-1995	Abbott et al.	
		US- 5,384,671	01-24-1995	Fisher	
		US- 6,233,715 B1	05-15-2001	Kuki et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	B1					
	B2					

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/993,986
Filing Date	November 5, 2001
First Named Inventor	Fereidoon Heydari
Art Unit	2627
Examiner Name	Glenda P. Rodriguez
Attorney Docket Number	01-S-045 (1678-047-03)

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C1	Partial European Search Report, from European Application No. EP 02 25 3868, dated April 2, 2007	N
	C2		N

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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